

TRANSMITTAL LETTER
(General - Patent Pending)

Docket No.
00-106

In Re Application Of: MUELLER et al.

APR 28 2003

Serial No.
09/993,228

Filing Date
November 14, 2001

Examiner
Yehdega Retta

Group Art Unit
3621

Title: METHOD AND APPARATUS FOR DYNAMIC RULE AND/OR OFFER GENERATION

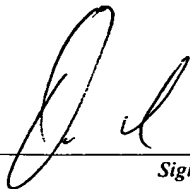
TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

Transmittal of Information Disclosure Statement (2 pp.);
PTO Form-1449 (1 pg.) and reference cited therein (1); and
Return Receipt Postcard.

in the above identified application.

- ☐ No additional fee is required.
- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0271 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.



Signature

Dean P. Alderucci
Attorney for Applicants
PTO Registration No. 40,484
(203) 461-7337/phone
(203) 461-7300/fax

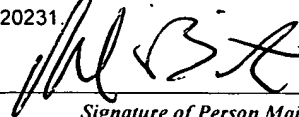
Customer No. 22927

CC: Alderucci@walkerdigital.com

Dated: April 17, 2003

RECEIVED
APR 30 2003
GROUP 3600

I certify that this document and fee is being deposited
on April 20, 2003 with the U.S. Postal Service as
first class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.



Signature of Person Mailing Correspondence

Michael D. Brinton

Typed or Printed Name of Person Mailing Correspondence

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Docket No.

00-106

(Under 37 CFR 1.97(b) or 1.97(c))

In Re Application Of: MUELLER et al.

APR 28 2003

Serial No.
09993,228Filing Date
November 14, 2001Examiner
Yehdega Retta

Group Art Unit

3621

3622

Title: METHOD AND APPARATUS FOR DYNAMIC RULE AND/OR OFFER GENERATION

Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
 2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

RECEIVED
APR 30 2003
GROUP 3600

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

00-106

In Re Application Of MUELLEN, et al.

Serial No.

09993,228

Filing Date

November 14, 2001

Examiner

Yehdega Retta

Group Art Unit

3621

Title: METHOD AND APPARATUS FOR DYNAMIC RULE AND/OR OFFER GENERATION

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0271 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____).

_____, on _____
(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on April 23, 2003 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Michael D. Brinton

Typed or Printed Name of Person Mailing Correspondence

*This certificate may only be used if paying by deposit account.

Signature

Dated: April 17, 2003

Dean P. Alderucci
Attorney for Applicants
PTO Registration No. 40,484
(203) 461-7337/phone
(203) 461-7300/fax

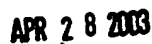
Customer No. 22927

RECEIVED

APR 30 2003

GROUP 3600

CC: Alderucci@walkerdigital.com



Docket Number

00-106

Serial Number

09/993,228

Applicants

MUELLER et al.

Filing Date

November 14, 2001

Group Art Unit

3621

EXAMINER
INITIAL

REF

DOCUMENT NUMBER

DATE _____

NAME

CLASS

SUB-CLASS

FILING
DATE IF
APPROPRIAT
E

RECEIVED

APR 30 2003

GROUP 3600

REF

DOCUMENT NUMBER

DATE _____

COUNTRY

CLASS

SUB-
CLASS

Translation

Yes

No

OTHER DOCUMENTS *(Including author, Title, Date, Pertinent Pages, Etc.)*

A

⁴Raab, David M., "Package Aids Catalog Management", DM News, April 22, 1996, Section: Software Review, pg. 14.

EXAMINER

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.